



FAIS Disclosure Document

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1. INTRODUCTION

Inzuzo Financial Services (Pty) Ltd is an authorised financial services provider in South Africa with license number 54742, with registration no. 2024/485622/07 and having its registered address at Trade House, Building 2, 33 Impala Road, Sandton, Gauteng, 2196, South Africa (hereinafter referred to as the “**Company**” or “**Ouinex**”).

The Company, acting as an intermediary, facilitates transactions for **Global Markets LLC** (the “**Product Supplier**”) which is authorized and regulated in Saint Vincent and the Grenadines, under No. 3796 LLC 2024, having its registered office address at Suite 305, Griffith Corporate Center, Beachmont, Kingston, Saint Vincent and the Grenadines. The Company is not a market maker, or product issuer, and acts solely as an intermediary in terms of the FAIS Act between the client and the Product Supplier, rendering only an intermediary service (i.e., no market making is conducted by the Company in relation to any products offered by the Product Supplier. Therefore, the Company does not act as the principal or the counterparty in any of its transactions.)

In terms of the General Code of Conduct of the FAIS Act, the Company is required to disclose the information in this document to you as an authorised Financial Services Provider (“**FSP**”). You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

2. THE REPRESENTATIVE

Name and Surname Byron Anthony Green

Email address compliance@ouinex.com

The Company accepts responsibility for the activities of the Representative insofar as it is performed within the scope of the contractual agreement between The Company and the Representative.

The Representative is currently rendering financial services under supervision:

Yes ☐ No ☒

3. FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised the Company to render financial services as defined in terms of the FAIS Act in respect of the following financial products:

No.	Category Description	Advice Automated	Advice Nonautomated	Intermediary Scripted	Intermediary Other
	CATEGORY I				
1.8	Shares		X		X
1.9	Money Market		X		X
1.10	Debentures and Securitised Debt		X		X
1.11	Warrants		X		X
1.12	Bonds		X		X
1.13	Derivative instruments		X		X
1.14	Participatory interests in CIS		X		X
1.17	Long-term Deposits		X		X
1.18	Short-term Deposits		X		X
1.24	Structured Deposits		X		X
1.25	Securities and Instruments		X		X
1.26	Participatory interest in a hedge fund		X		X
1.27	Crypto Assets		X		X
	CATEGORY II				
2.5	Shares		X		X
2.6	Money Market		X		X
2.7	Debentures and Securitised Debt		X		X
2.8	Warrants		X		X
2.9	Bonds		X		X
2.10	Derivative instruments		X		X
2.11	Participatory interests in CIS		X		X
2.13	Long-term Deposits		X		X
2.14	Short-term Deposits		X		X
2.18	Structured Deposits		X		X
2.19	Securities and Instruments		X		X
2.20	Participatory interest in a hedge fund		X		X

4. COMPANY DETAILS

Physical address	Trade House, Building 2, 33 Impala Road, Sandton, Gauteng, 2196, South Africa
FSP license number	54742
Company registration number	2024/485622/07
Legal status	Private Company
Postal Address	Trade House, Building 2, 33 Impala Road, Sandton, Gauteng, 2196, South Africa

5. EXEMPTIONS

The FSP was not awarded any exemptions from the Act.

6. PRODUCT SUPPLIER

Global Markets LLC is the product supplier as set out in the Company's Client Agreement, published on the website at www.ouinex.com.

- Physical/ postal Address: Suite 305, Griffith Corporate Center, Beachmont. Kingston, Saint Vincent and the Grenadines.
- Contact details of Compliance Department: compliance@ouinex.com

7. CONFLICT OF INTEREST MANAGEMENT POLICY

The Company has adopted and implemented a conflict of interest management policy that complies with the provisions of the FAIS Act. The conflict of interest management policy is published on the website of the Company at www.ouinex.com.

8. INDEMNITY COVER

The Company holds Professional Indemnity and Fidelity cover.

9. EXTERNAL COMPLIANCE OFFICER(s)

Name	Oracle Compliance (Pty) Ltd (Registration number 2004/009368/07) Practise number 6929
Physical address	3rd Floor, 34 Whiteley Road, Melrose Arch, Birnam, Johannesburg, 2196

Contact person Mr Leonardo d' Onofrio
Telephone number 011 100 2551
E-mail leonardo@oraclecompliance.com or info@oraclecompliance.com

10. COMPLAINTS

Should you wish to pursue a complaint, you should address the complaint in writing to compliance@ouinex.com, as per our Complaints Handling Policy found on the official website at www.ouinex.com.

You may also contact our external compliance officer, Oracle Compliance (Pty) Ltd, at info@oraclecompliance.com.

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud:

- Telephone: 0860 066 3274
- e-mail: info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

11. FINANCIAL INTELLIGENCE CENTRE ACT ("FICA")

In terms of FICA, the Company is an accountable institution. By taking a risk-based approach, we are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

12. DISCLAIMER

You should note that there are risks involved in buying or selling any financial product, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Prior to selecting any financial product, it is recommended that you seek specialised financial, legal and tax advice.

13. CLIENT ACKNOWLEDGMENT

By opening a trading account with Ouinex, you confirm your agreement to the Company's Client Agreement and other legal documents, including the terms outlined in this document.